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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/678,424 Confirmation No. 7082  
Applicant : SURESH C. GUPTA ET AL.  
Filed : October 3, 2003  
Title : METHOD AND APPARATUS FOR CONTROLLING  
OUTPUT VOLTAGES AND FREQUENCIES OF  
TURBINE/ALTERNATOR ON COMMON SHAFT  
Group Art Unit : 2834  
Examiner : Not Yet Assigned

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants hereby submit this Information Disclosure Statement, which includes a completed Form PTO/SB/08A.

This application is a division of application No. 10/446,386, which is a continuation of application No. 09/840,572, filed April 23, 2001, which is a continuation of application No. 09/319,390, filed June 1, 1999, which is the United States national phase of International Application No. PCT/US97/22405, filed December 3, 1997 which designated, *inter alia*, the United States, and which claims the benefit of provisional application

I hereby certify that this corresponding is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 28, 2004.

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Lori A. Fratangelo  
(Type or Print Name of Person Making Deposit)

\_\_\_\_\_  
Signature Date 5/28/2004

No. 60/032,149, filed December 3, 1996. The U.S. and EP references listed on Form PTO/SB/08A were submitted and cited by either Applicants or Examiner during prosecution of the aforementioned cases.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due for the submission of this Information Disclosure Statement, as it is being submitted before the mailing date of a first Office Action on the merits. However, should an Office Action on the merits issue prior to the mailing date of this Information Disclosure Statement, the Commissioner for Patents and Trademarks is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. One (1) original and two (2) copies of this Information Disclosure Statement are enclosed.

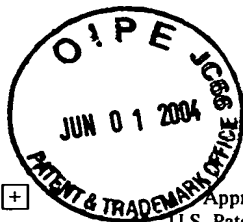
Respectfully submitted,

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PTO/SB/08A (08-00)  
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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/678,424
				Filing Date	October 3, 2003
				First Named Inventor	Suresh C. GUPTA
				Group Art Unit	2834
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	3419-031892
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup>			
	1	3,613,360		HOWES, L.D.	10-19-1971	
	2	3,775,663		TURNBULL, Fred G.	11-27-1973	
	3	4,862,009		KING, Robert D.	08-29-1989	
	4	4,992,721		LATOS, Thomas S.	02-12-1991	
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	13	5,903,116		GEIS et al.	05-11-1999	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
	14		EP 0 742 634 A2		BOSLEY, Robert W.	11-13-1996		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country) where published.	T <sup>2</sup>
	15	YASUO FUJIKAWA, "Transportable Micro Gas Turbine Generator", Shinko Electric Journal, No. 143, Vol. 41, September 20, 1996.	✓

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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